L Number	Hits	Search Text	DB	Time stamp
•	104221	(backup or back-up)	USPAT;	2003/07/22
			US-PGPUB;	14:52
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
•	696460	recover\$4	USPAT;	2003/07/22
		,	US-PGPUB;	14:52
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
•	2734	(monitor\$4 or track\$4 or check\$4) with	USPAT;	2003/07/22
		(predeterm\$4 or preset\$4 or predefin\$4)	US-PGPUB;	14:56
		with message\$	EPO; JPO;	
			DERWENT;	
			IBM_TDB	
•	2798	detect\$4 with harmful	USPAT;	2003/07/22
			US-PGPUB;	14:57
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
•	1958	protect\$4 same download\$4	USPAT;	2003/07/22
			US-PGPUB;	14:57
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
•	3751	((backup or back-up)) same recover\$4	USPAT;	2003/07/22
			US-PGPUB;	14:58
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
•	2	(((backup or back-up)) same recover\$4)	USPAT;	2003/07/22
		same ((monitor\$4 or track\$4 or check\$4)	US-PGPUB;	14:59
		with (predeterm\$4 or preset\$4 or	EPO; JPO;	
		predefin\$4) with message\$)	DERWENT;	
			IBM_TDB	
•	46	(((backup or back-up)) same recover\$4) and	USPAT;	2003/07/22
	•	((monitor\$4 or track\$4 or check\$4) with	US-PGPUB;	14:59
		(predeterm\$4 or preset\$4 or predefin\$4)	EPO; JPO;	
		with message\$)	DERWENT;	
			IBM_TDB	
•	1	((((backup or back-up)) same recover\$4)	USPAT;	2003/07/22
		same ((monitor\$4 or track\$4 or check\$4)	US-PGPUB;	15:00
		with (predeterm\$4 or preset\$4 or	EPO; JPO;	
		predefin\$4) with message\$)) and (detect\$4	DERWENT;	
		with harmful) and (protect\$4 same	IBM_TDB	
		download\$4)		
•	1	((((backup or back-up)) same recover\$4)	USPAT;	2003/07/22
		same ((monitor\$4 or track\$4 or check\$4)	US-PGPUB;	15:00
		with (predeterm\$4 or preset\$4 or	EPO; JPO;	
		predefin\$4) with message\$)) and (detect\$4	DERWENT;	
		with harmful)	IBM_TDB	

-	1	((((backup or back-up)) same recover\$4)	USPAT;	2003/07/22
		same ((monitor\$4 or track\$4 or check\$4)	US-PGPUB;	15:00
		with (predeterm\$4 or preset\$4 or	EPO; JPO;	
		predefin\$4) with message\$)) and (protect\$4	DERWENT;	
		same download\$4)	IBM_TDB	•
-	3346	(714/?).ccls.	USPAT;	2003/07/22
			US-PGPUB;	15:02
			EPO; JPO;	
[DERWENT;	
			IBM_TDB	
-	5	((((backup or back-up)) same recover\$4)	USPAT;	2003/07/22
		and ((monitor\$4 or track\$4 or check\$4) with	US-PGPUB;	15:00
		(predeterm\$4 or preset\$4 or predefin\$4)	EPO; JPO;	
		with message\$)) and ((714/?).ccls.)	DERWENT;	
			IBM_TDB	
-	1934	(365/?).ccls.	USPAT;	2003/07/22
į			US-PGPUB;	15:02
			EPO; JPO;	
			DERWENT;	
:			IBM_TDB	
-	2886	(710/?).ccls.	USPAT;	2003/07/22
			US-PGPUB;	15:02
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
-	2206	(711/?).ccls.	USPAT;	2003/07/22
			US-PGPUB;	15:02
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
-	657	(712/?).ccls.	USPAT;	2003/07/22
			US-PGPUB;	15:02
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
-	10791	((714/?).ccls.) or ((365/?).ccls.) or	USPAT;	2003/07/22
		((710/?).ccls.) or ((711/?).ccls.) or	US-PGPUB;	15:02
		((712/?).ccls.)	EPO; JPO;	
			DERWENT;	
			IBM_TDB	
•	6	(((714/?).ccls.) or ((365/?).ccls.) or	USPAT;	2003/07/22
		((710/?).ccls.) or ((711/?).ccls.) or	US-PGPUB;	15:02
		((712/?).ccis.)) and ((((backup or back-up))	EPO; JPO;	
		same recover\$4) and ((monitor\$4 or track\$4	DERWENT;	
		or check\$4) with (predeterm\$4 or preset\$4	IBM_TDB	
		or predefin\$4) with message\$))		

•	1	((((714/?).ccls.) or ((365/?).ccls.) or	USPAT;	2003/07/22
		((710/?).ccls.) or ((711/?).ccls.) or	US-PGPUB;	15:03
		((712/?).ccls.)) and ((((backup or back-up))	EPO; JPO;	
		same recover\$4) and ((monitor\$4 or track\$4	DERWENT;	
		or check\$4) with (predeterm\$4 or preset\$4	IBM_TDB	
		or predefin\$4) with message\$))) not		
		(((((backup or back-up)) same recover\$4)		
		and ((monitor\$4 or track\$4 or check\$4) with		
		(predeterm\$4 or preset\$4 or predefin\$4)		
		with massage\$)) and (/714/2) ccls.))		

L Number	Hits	Search Text	DB	Time stamp
1	52112	(monitor\$4 or detect\$4 or track\$4 or	USPAT;	2003/07/24
		check\$4) with (predeterm\$4 or preset\$4 or	US-PGPUB;	17:16
		predefin\$4) with (message\$ or data)	EPO; JPO;	
			DERWENT;	
_			IBM_TDB	
2	559	message\$ adj download\$4	USPAT;	2003/07/24
			US-PGPUB;	17:00
			EPO; JPO;	
			DERWENT;	
3	10283	application\$ adj layer\$	IBM_TDB	2003/07/24
3	10203	applications auj layers	USPAT; US-PGPUB;	17:03
			EPO; JPO;	17:03
			DERWENT;	
			IBM_TDB	
4	134611	back adj up or back-up or backup	USPAT;	2003/07/24
•		Such au, ap of Buok ap of Buokap	US-PGPUB;	17:04
			EPO; JPO;	
			DERWENT;	
			IBM TDB	
5	119199	harmfull or virus\$2	USPAT;	2003/07/24
	,	,	US-PGPUB;	17:13
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
6	642	(harmfull or virus\$2) adj3 data	USPAT;	2003/07/24
			US-PGPUB;	17:13
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
7	0	((monitor\$4 or detect\$4 or track\$4 or	USPAT;	2003/07/24
		check\$4) with (predeterm\$4 or preset\$4 or	US-PGPUB;	17:16
		predefin\$4) with (message\$ or data)) same	EPO; JPO;	
		(back adj up or back-up or backup) same	DERWENT;	
_	_	((harmfull or virus\$2) adj3 data)	IBM_TDB	
8	5	((monitor\$4 or detect\$4 or track\$4 or	USPAT;	2003/07/24
		check\$4) with (predeterm\$4 or preset\$4 or	US-PGPUB;	17:19
		predefin\$4) with (message\$ or data)) and	EPO; JPO;	
		(back adj up or back-up or backup) and	DERWENT;	
0		((harmfull or virus\$2) adj3 data)	IBM_TDB	0000/07/04
9	1	((monitor\$4 or detect\$4 or track\$4 or	USPAT;	2003/07/24
		check\$4) with (predeterm\$4 or preset\$4 or	US-PGPUB;	17:37
		predefin\$4) with (message\$ or data)) and (message\$ adj download\$4) and (EPO; JPO;	
		application\$ adj layer\$) and (back adj up or	DERWENT; IBM_TDB	
		back-up or backup)	IDIM_IDB	
10	696460	recover\$4	USPAT;	2003/07/24
	333433		US-PGPUB;	17:20
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	

11	1955	automatic\$4 adj protect\$4	USPAT;	2003/07/24
''	1333	automaticus auj protectus	US-PGPUB;	17:24
			EPO; JPO;	11124
			DERWENT;	
			IBM_TDB	
12	30	//manianta or data att a su trackta or	_	2002/07/24
12	30	((monitor\$4 or detect\$4 or track\$4 or	USPAT;	2003/07/24
		check\$4) with (predeterm\$4 or preset\$4 or	US-PGPUB;	17:24
!		predefin\$4) with (message\$ or data)) and (EPO; JPO;	
		application\$ adj layer\$) and (back adj up or	DERWENT;	
		back-up or backup)	IBM_TDB	
14	1	((((monitor\$4 or detect\$4 or track\$4 or	USPAT;	2003/07/24
		check\$4) with (predeterm\$4 or preset\$4 or	US-PGPUB;	17:24
		predefin\$4) with (message\$ or data)) and (EPO; JPO;	
		application\$ adj layer\$) and (back adj up or	DERWENT;	
		back-up or backup)) and recover\$4) and	IBM_TDB	
		(automatic\$4 adj protect\$4)		
13	16	(((monitor\$4 or detect\$4 or track\$4 or	USPAT;	2003/07/24
		check\$4) with (predeterm\$4 or preset\$4 or	US-PGPUB;	17:36
		predefin\$4) with (message\$ or data)) and (EPO; JPO;	
		application\$ adj layer\$) and (back adj up or	DERWENT;	
		back-up or backup)) and recover\$4	IBM_TDB	
15	O	(message\$ adj download\$4) with (USPAT;	2003/07/24
		application\$ adj layer\$)	US-PGPUB;	17:36
			EPO; JPO;	
ľ			DERWENT;	
			IBM_TDB	
16	30	(message\$ adj download\$4) and (USPAT;	2003/07/24
"		application\$ adj layer\$)	US-PGPUB;	17:36
		approximent any rayer vy	EPO; JPO;	11100
			DERWENT;	
			IBM_TDB	
17	1	(message\$ adj download\$4) same (USPAT;	2003/07/24
''	•	application\$ adj layer\$)	US-PGPUB;	17:36
		applications and layers,	EPO; JPO;	17.50
			DERWENT;	
			·	
18	4	((monitor\$4 or detect\$4 or track\$4 or	IBM_TDB	2002/07/24
10	-	**	USPAT;	2003/07/24
		check\$4) with (predeterm\$4 or preset\$4 or	US-PGPUB;	17:40
		predefin\$4) with (message\$ or data)) and	EPO; JPO;	
		(message\$ adj download\$4) and (DERWENT;	
40	0004	application\$ adj layer\$)	IBM_TDB	0000107101
19	2694	(back adj up or back-up or backup) with	USPAT;	2003/07/24
		recover\$4	US-PGPUB;	17:51
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
20	4	((back adj up or back-up or backup) with	USPAT;	2003/07/24
		recover\$4) and ((monitor\$4 or detect\$4 or	US-PGPUB;	17:42
		track\$4 or check\$4) with (predeterm\$4 or	EPO; JPO;	
		preset\$4 or predefin\$4) with (message\$ or	DERWENT;	
·		data)) and (application\$ adj layer\$)	IBM_TDB	

21	12	((back adj up or back-up or backup) with	USPAT;	2003/07/24
		recover\$4) same ((monitor\$4 or detect\$4 or	US-PGPUB;	17:46
		track\$4 or check\$4) with (predeterm\$4 or	EPO; JPO;	
		preset\$4 or predefin\$4) with (message\$ or	DERWENT;	
		data))	IBM_TDB	
22	2	((back adj up or back-up or backup) with	USPAT;	2003/07/24
		recover\$4) same (application\$ adj layer\$)	US-PGPUB;	17:46
		(application as, 12, 12, 12, 12, 12, 12, 12, 12, 12, 12	EPO; JPO;	
			DERWENT;	
-			IBM_TDB	
23	20	((back adj up or back-up or backup) with	USPAT;	2003/07/24
		recover\$4) and (automatic\$4 adj protect\$4)	US-PGPUB;	17:51
		recoverse, and (automaticse auj protectse)	EPO; JPO;	17.51
			DERWENT;	
			•	
24	1	(//hook adi um ay book um ay bookum) with	IBM_TDB	2003/07/24
24	1	(((back adj up or back-up or backup) with	USPAT;	
•		recover\$4) and (automatic\$4 adj protect\$4))	US-PGPUB;	17:51
		and (message\$ adj download\$4)	EPO; JPO;	
			DERWENT;	
			IBM_TDB	2000/07/04
25	3	(((back adj up or back-up or backup) with	USPAT;	2003/07/24
		recover\$4) and (automatic\$4 adj protect\$4))	US-PGPUB;	17:55
		and (harmfull or virus\$2)	EPO; JPO;	
			DERWENT;	
			IBM_TDB	
26	996	(back adj up or back-up or backup) adj3	USPAT;	2003/07/24
		recover\$4	US-PGPUB;	17:55
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
27	4	((back adj up or back-up or backup) adj3	USPAT;	2003/07/24
		recover\$4) with ((monitor\$4 or detect\$4 or	US-PGPUB;	17:56
		track\$4 or check\$4) with (predeterm\$4 or	EPO; JPO;	
		preset\$4 or predefin\$4) with (message\$ or	DERWENT;	
		data))	IBM_TDB	
28	104	((back adj up or back-up or backup) adj3	USPAT;	2003/07/24
		recover\$4) with (computer)	US-PGPUB;	17:57
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
29	60	26.ti.	USPAT;	2003/07/24
			US-PGPUB;	17:57
			EPO; JPO;	
			DERWENT;	
1			IBM_TDB	

L Number	Hits	Search Text	DB	Time stamp
1	52112	(monitor\$4 or detect\$4 or track\$4 or	USPAT;	2003/07/24
		check\$4) with (predeterm\$4 or preset\$4 or	US-PGPUB;	17:16
		predefin\$4) with (message\$ or data)	EPO; JPO;	
			DERWENT;	
			IBM_TDB	
2	559	message\$ adj download\$4	USPAT;	2003/07/24
			US-PGPUB;	17:00
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
3	10283	application\$ adj layer\$	USPAT;	2003/07/24
			US-PGPUB;	17:03
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
4	134611	back adj up or back-up or backup	USPAT;	2003/07/24
			US-PGPUB;	17:04
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
5	119199	harmfull or virus\$2	USPAT;	2003/07/24
			US-PGPUB;	17:13
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
6	642	(harmfull or virus\$2) adj3 data	USPAT;	2003/07/24
			US-PGPUB;	17:13
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
7	0	((monitor\$4 or detect\$4 or track\$4 or	USPAT;	2003/07/24
		check\$4) with (predeterm\$4 or preset\$4 or	US-PGPUB;	17:16
		predefin\$4) with (message\$ or data)) same	EPO; JPO;	
		(back adj up or back-up or backup) same	DERWENT;	
		((harmfull or virus\$2) adj3 data)	IBM_TDB	
В	5	((monitor\$4 or detect\$4 or track\$4 or	USPAT;	2003/07/24
		check\$4) with (predeterm\$4 or preset\$4 or	US-PGPUB;	17:19
		predefin\$4) with (message\$ or data)) and	EPO; JPO;	
		(back adj up or back-up or backup) and	DERWENT;	
		((harmfull or virus\$2) adj3 data)	IBM_TDB	
9	1	((monitor\$4 or detect\$4 or track\$4 or	USPAT;	2003/07/24
		check\$4) with (predeterm\$4 or preset\$4 or	US-PGPUB;	17:37
		predefin\$4) with (message\$ or data)) and	EPO; JPO;	
		(message\$ adj download\$4) and (DERWENT;	
		application\$ adj layer\$) and (back adj up or	IBM_TDB	
		back-up or backup)		
10	696460	recover\$4	USPAT;	2003/07/24
			US-PGPUB;	17:20
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	

11	1955	automatic\$4 adj protect\$4	USPAT;	2003/07/24
• •	1555	automaticus auj proteotus	US-PGPUB;	17:24
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
12	30	((monitor\$4 or detect\$4 or track\$4 or	USPAT;	2003/07/24
		check\$4) with (predeterm\$4 or preset\$4 or	US-PGPUB;	17:24
		predefin\$4) with (message\$ or data)) and (EPO; JPO;	
		application\$ adj layer\$) and (back adj up or	DERWENT;	
		back-up or backup)	IBM_TDB	
14	1	((((monitor\$4 or detect\$4 or track\$4 or	USPAT;	2003/07/24
		check\$4) with (predeterm\$4 or preset\$4 or	US-PGPUB;	17:24
		predefin\$4) with (message\$ or data)) and (EPO; JPO;	
		application\$ adj layer\$) and (back adj up or	DERWENT;	
		back-up or backup)) and recover\$4) and	IBM_TDB	
		(automatic\$4 adj protect\$4)		
13	16	(((monitor\$4 or detect\$4 or track\$4 or	USPAT;	2003/07/24
		check\$4) with (predeterm\$4 or preset\$4 or	US-PGPUB;	17:36
		predefin\$4) with (message\$ or data)) and (EPO; JPO;	
		application\$ adj layer\$) and (back adj up or	DERWENT;	
		back-up or backup)) and recover\$4	IBM_TDB	
15	0	(message\$ adj download\$4) with (USPAT;	2003/07/24
		application\$ adj layer\$)	US-PGPUB;	17:36
			EPO; JPO;	
			DERWENT;	
:			IBM_TDB	
16	30	(message\$ adj download\$4) and (USPAT;	2003/07/24
		application\$ adj layer\$)	US-PGPUB;	17:36
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
17	1	(message\$ adj download\$4) same (USPAT;	2003/07/24
		application\$ adj layer\$)	US-PGPUB;	17:36
			EPO; JPO;	
			DERWENT;	
40	_	,, ,, ,, ,, ,, ,, ,, ,, ,, ,, ,, ,, ,,	IBM_TDB	
18	4	((monitor\$4 or detect\$4 or track\$4 or	USPAT;	2003/07/24
		check\$4) with (predeterm\$4 or preset\$4 or	US-PGPUB;	17:40
		predefin\$4) with (message\$ or data)) and	EPO; JPO;	
		(message\$ adj download\$4) and (DERWENT;	
10	2604	application\$ adj layer\$)	IBM_TDB	0000/07/54
19	2694	(back adj up or back-up or backup) with	USPAT;	2003/07/24
		recover\$4	US-PGPUB;	17:51
			EPO; JPO;	
			DERWENT;	
20	4	(/hask adi un au hask un au haskuu) with	IBM_TDB	0000/07/04
20	4	((back adj up or back-up or backup) with	USPAT;	2003/07/24
		recover\$4) and ((monitor\$4 or detect\$4 or	US-PGPUB;	17:42
		track\$4 or check\$4) with (predeterm\$4 or preset\$4 or predefin\$4) with (message\$ or	EPO; JPO;	
		data)) and (application\$ adj layer\$)	DERWENT;	
	<u> </u>	uata), and (applications adj layers)	IBM_TDB	

21	12	((back adj up or back-up or backup) with	USPAT;	2003/07/24
		recover\$4) same ((monitor\$4 or detect\$4 or	US-PGPUB;	17:46
		track\$4 or check\$4) with (predeterm\$4 or	EPO; JPO;	
		preset\$4 or predefin\$4) with (message\$ or	DERWENT;	
		data))	IBM_TDB	
22	2	((back adj up or back-up or backup) with	USPAT;	2003/07/24
		recover\$4) same (application\$ adj layer\$)	US-PGPUB;	17:46
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
23	20	((back adj up or back-up or backup) with	USPAT;	2003/07/24
		recover\$4) and (automatic\$4 adj protect\$4)	US-PGPUB;	17:51
			EPO; JPO;	
			DERWENT;	
			IBM_TDB	
24	1	(((back adj up or back-up or backup) with	USPAT;	2003/07/24
		recover\$4) and (automatic\$4 adj protect\$4))	US-PGPUB;	17:51
		and (message\$ adj download\$4)	EPO; JPO;	
			DERWENT;	
			IBM_TDB	
25	3	(((back adj up or back-up or backup) with	USPAT;	2003/07/24
		recover\$4) and (automatic\$4 adj protect\$4))	US-PGPUB;	17:55
	!	and (harmfull or virus\$2)	EPO; JPO;	
			DERWENT:	
			IBM_TDB	
26	996	(back adj up or back-up or backup) adj3	USPAT;	2003/07/24
		recover\$4	US-PGPUB;	17:55
			EPO; JPO;	•
			DERWENT;	
			IBM_TDB	
27	4	((back adj up or back-up or backup) adj3	USPAT;	2003/07/24
		recover\$4) with ((monitor\$4 or detect\$4 or	US-PGPUB;	17:55
		track\$4 or check\$4) with (predeterm\$4 or	EPO; JPO;	
		preset\$4 or predefin\$4) with (message\$ or	DERWENT;	
		data))	IBM_TDB	

6502102

DOCUMENT-IDENTIFIER: US 6502102 B1

See image for Certificate of Correction

TITLE:

System, method and article of manufacture for a table-driven automated scripting architecture

----- KWIC -----

Detailed Description Text - DETX (449):

The incremental value of the daily work performed on the development project

is high. This investment must be protected from problems arising from hardware

and software failure, and from erroneous user actions and catastrophes such as

fires or floods. The repositories and other development information must

therefore be backed up regularly. Backup and restore procedures and

be tested to ensure that system components can be recovered as anticipated.

The large volumes of complex data generally require automation of backups and restores.

Detailed Description Text - DETX (684):

Firewalls are often thought of as THE answer to network security. There is

a common misconception that purchasing and installing the "best" firewall

available may automatically protect your network from the Internet. This is

not necessarily true. In fact there are many factors to consider when choosing

a firewall, and when placing and configuring that firewall in your environment.

First of all, consider the type of network connection your are trying

protect. Firewalls are not only used to separate your intranet from the

Internet, they can also be used to segregate a particularly sensitive

particularly insecure area of your intranet from the rest of your network.

Depending on the services one wants to provide your users and what risk

willing to accept, your choice of the "best" firewall implementation may

change.

Detailed Description Text - DETX (692): Backup and Recovery

Detailed Description Text - DETX (693):

People kick over servers, accidentally delete files, and spill coffee on

machines. For these reasons and a host of others, Net Centric resources must

be backed up in a manner so that they can be recovered. This does not mean

dumping a bunch of files onto data tapes and stacking them in a comer of the

server room. An effective $\underline{\text{backup and recovery}}$ strategy should address how

 $\frac{backups}{location}$ may be taken, the media on which they may be stored, the

they may be stored, and the frequency with which they may be taken. Backups

should also be periodically tested to make sure that they are recoverable, for

example to make sure the **backup** tape drive is still working. When designing

your backup strategy one should also consider the specific types of applications, databases, and hardware which are in use in your environment.

For example an Oracle database may probably not be recoverable from a . \tan

file. In addition to software resources, consider what would happen if your

router or your ISP link were to go down. It may be necessary to maintain a

backup link to a secondary service provider in the event that your ISP goes

down for an extended period of time.

Detailed Description Text - DETX (1061):

Pre-Installation Suggestions Do not install Site Server on a Backup Domain

Controller. Do not install Exchange Server on a Site Server. Both products

are resource intensive. Do not install Proxy Server on a Site Server. Do not

install Site Server on a Clustered NT System (MSCS). One can install Site

Server onto a Windows Load Balancing Service (WLBS). Remove Content Analyzer

from Visual Studio. Only install Site Server on a NTFS Drive. Disable or

Remove all Anti $\underline{\text{Virus}}$ software during entire install process. Do not change

ANY setting in IIS before installing Site Server (On a clean/new install).

Have at least one gig free of disk space. Verify that virtual memory is set to $\ensuremath{\mathsf{S}}$

at least 128 MB during the install process. Give your account

administrative privileges on the local machine.

Detailed Description Paragraph Table - DETL (49):

Title Description & Responsibilities Technical Manager Typically an IS

department head with responsibility for the purchase and/or support of hardware and software. In configuration management, this role is more software oriented. Other responsibilities include: Assign development and

support staff to projects. Review (accept/reject) technical approach proposed

for projects. Monitor development and support budgets and personnel - status

of projects. Network System This individual is responsible for the installation, Administrator maintenance and support of the Unix and Windows

 NT servers including operating system, $% \operatorname{NT}$ file systems, and applications. Other

responsibilities include: Operating system installation, patch upgrades,

migrations and compatibility with other applications. Installation and support

of proper backup/restore systems. Installation and support of other peripherals required for installed (or to be installed) applications. Proper

portion of the present description of hardware configuration and setup.

Maintenance of Windows Domain users and Groups as well as other security

issues. Database The DBA is responsible for proper creation and Administrator

maintenance of production and system test $% \left(1\right) =\left(1\right) +\left(1\right) +$

database, as well as recovery using backup/restore and logging, are
priorities for the DBA. Other responsibilities include: Assist
developers in

maintaining development databases by automating backup/recovery, applying

changes to database schema, etc. Provide support for tuning, sizing and

locating database objects within allocated database space. Applying change

requests to databases. Ideally maintain entity relationship diagrams for

databases. Maintenance of database users and other database-related security

issues Source Code Individual responsible for development and Librarian

maintenance of source code control tools, training materials, and storage

areas. The Source Code Librarian is also responsible for the integrity of the

source code environment. Additionally: Establishes source code directories for

new projects. Provides reports on source code environment status and usage

per project. Provides assistance/information as needed regarding objects to check out for system test. Assists production operations in building/moving all applications into production. Business Analyst Individual or individuals responsible for managing the detailed design, programming, and unit testing of application software. Other responsibilities include: Developing/reviewing detailed designs. Developing/reviewing unit test plans, data, scripts, output. Managing application developers. Application Individual or individuals responsible for making Developer changes to source code defined by management. This person typically: Checks source code out of the source code environment. Modifies code per user requirements or other development portion of the present description. Unit tests modifications in the development environment. Checks modified code back into source code environment preparation for system test. System Tester This person or team is directly responsible for Integration Tester system testing or integration testing of an application prior to implementing in production. This may also take the form of performance testing. Typically, a system or integration test person team may be responsible for: Following production operation procedures installing a new application in the appropriate test environment. Develop and execute a test plan to properly exercise new application including modified, and unmodified functionality. Reporting results of test. Vendor For the purposes of this portion of the present description, a vendor defined as an organization from which software has been purchased for use by the clients systems. Alternatively, a vendor may distribute final installable media in the form of tape or CD with upgrades or new release of application. A vendor may: Make modifications to application code at vendor offices or within the engagement development environment. Provide necessary information to Source Code Librarian to store new code. Assist Source Code Librarian in transferring modifications to the engagement system test environment.

Detailed Description Paragraph Table - DETL (61):

Participate in system test (or performance test).

Step Step Description Notes 1 Install "Oracle 8 Enterprise Edition" (Version 8.0.3.0.0 for Windows Though these NT) steps describe Run Setup on the installation CD. the installation Choose the installation language, then select OK. on a Windows Choose the Company name, and change the install directory to NT platform, C:.backslash.Oracle, then select are nearly Select Yes when asked whether to have the installation program make identical to the changes to the PATH variable installation Choose to install Oracle 8 Enterprise Edition. process on the Select where the Oracle portion of the present description should be UNIX installed. The default is to leave it on the CD. platform. 2 Create a directory for the application database. Start the windows explorer Select the directory where Oracle is installed (C:.backslash.Oracle) then the subdirectory Database Create a new

folder for the Database files. Ex.

"C:.backslash.Oracle.backslash.ReTA" 3 At

this point a full operating system backup should be made, and the backup set

stored. In future, if the database server goes down, this backup may be used

to quickly restore the server to a point where the $\mbox{\it Oracle}$ $\mbox{\it Recovery}$ Manager can

take over and complete the $\underline{\text{backup.}}$ 4 Add registry keys for the database. The

key locations are This key

HKEY_LOCAL_MACHINE.backslash.SOFTWARE.backslash.Oracle.backslash.
identifies

the Use the Start Menu to run the regedit application active database Browse

to the above key. to Oracle on Right click on the entry ORACLE_SID and select

Modify. startup. Set the key value to RETA (or the SID of the Database if this

has been modified. Create a new key, NLS_DATE_FORMAT, and set the value to

"DD- MM-YY HH24:MM:SS" (include the quotation marks) 5 Perform the initial

database creation. This batch file Run the batch file Create ReTA Database.bat located in the is expects Database.backslash.CreateDB subdirectory of the Architecture directory of the RETARUN.sql supplied media.

and NOTE: The following batch files and database scripts may sometimes RETAIRUN.sql generate errors of the form "Table / View does not exist." This

is to be located because the scripts delete before trying to create objects -

if the scripts in the same $% \left(1\right) =\left(1\right) +\left(1\right)$

not exist and the directory. errors may be generated. This is not a cause for

concern. 6 Register your new databases with the TNS listener service to enable

This step other computers on the network to see it. enables Open the file

listener.ora located in the Net80.backslash.admin directory of the Oracle8

Client Oracle directory. communication Create entries identical to the \mathtt{ORCL}

entry at the end of the file, with with the data the SIDs replaced by PROS,

or the SID created in step 4. server. Note: copy the entire code block - i.e.

four lines of code. The inserted code is the following: (SID_DESC =
ReTA

Development Database) (GLOBAL_DBNAME = <Your computer name here&qt;)

(SID_NAME = < Your database SID here>)) Stop and restart the service

Oracle TNS Listener 7 Create local connections to the new database. This step

Use the start menu to run the program Oracle for Win NT / Net8 Easy provides

access Config. to the database Note: If one gets a Dr. Watson error on

Java.exe, set the display to $\,$ 256 from colors. SQL*Plus, Select Add New

Service, and supply a service name e.g. "RETA1" Oracle Select Bequeath (local

database). Navigator or Select Next. other Oracle Enter the database SID used

in the database creation script (RETA by administrative default) tools.

Select Test Service (Username: system; Password: Manager) and when the test is

successful push Done Select Next, then Finish.

5513314

DOCUMENT-IDENTIFIER:

US 5513314 A

TITLE:

Fault tolerant NFS server system and mirroring

protocol

----- KWIC -----

Detailed Description Text - DETX (4):

In accordance with the preferred embodiments of the present invention, ${\bf a}$

fault tolerant protocol is implemented for a specific class of remote procedure

calls (RPCs) transferred via the LAN 16 as a series of one or more datagrams.

Specifically, the class of RPCs encompassed by the fault tolerant protocol

include those known as Network Filesystem (NFS) requests. In general, NFS

requests provide for two categories of operations: inquiries and updates.

Inquiry requests include read data, get attributes, look up, read directory,

read link, status, and null. Update requests include write data, set attributes, rename, remove directory, remove file, link, create file, make

directory, and make symbolic link. These NFS requests are $\underline{\text{monitored}}$ and

managed by the fault tolerant protocol of the present invention in a manner

that results in the mirroring of all $\underline{\mathtt{data}}$ within $\underline{\mathtt{predetermined}}$ filesystems

present on a primary 12 and least one secondary 14 file server. The \min

of data to both the primary and secondary file servers 12, 14 is performed $\,$

essentially concurrently in response to any client workstation 18, 20 that

issues NFS requests with respect to the mirrored filesystems.

Detailed Description Text - DETX (13):

On both the primary files server 12 and secondary file server 14,

datagram representing the NFS write request is processed by a substantially

conventional TCP/IP stack. In relevant part, this network stack includes a

physical layer, a data link layer, a network layer, a transport layer,

session layer and an. application layer.

Detailed Description Text - DETX (16):

Finally, the <u>application layer</u> provides for well-known file services, such

as file transfer and remote file access. An NFS server layer is the preferred $% \left(1\right) =\left(1\right) +\left(1$

embodiment of the <u>application layer</u> used by the present invention. Each read.

write or other NFS request is managed through the NFS server under the control $\ensuremath{\mathsf{NFS}}$

of generally respective network control processes (conventionally nfsd processes).

Detailed Description Text - DETX (33):

In each of these events, the primary server 12 is left sleeping on the $\ensuremath{\mathsf{DRC}}$

entry for an acknowledgment datagram that is not received. However, in accordance with the present-invention, a sleep timer is set by the primary

server 12 in putting the nfsd process to sleep on DRC entry. The nfsd process

awakes 86 on timeout of the sleep timer in the absence of any received acknowledge datagram. Alternately, the sleep timer is effectively expired upon

the aging of the DRC entry through operation of the DRC-LRU algorithm. In

either event, the primary server 12 then transitions to a ${\color{red} \underline{backup}}$ failure

recovery mode 88.

5913219

DOCUMENT-IDENTIFIER:

US 5913219 A

TITLE:

Database recovery apparatus and method of using

dual

plane nonvolatile memory

----- KWIC -----

Brief Summary Text - BSTX (19):

A database recovery apparatus using a dual plane nonvolatile memory
according to a first embodiment of the present invention to accomplish
the

above described object is characterized in that it comprises a memory for

storing a database processing program; a power $\underline{\text{monitor}}$ and control circuit

which receives a backup/recovery state signal, supplies a power source
to

maintain the backup state for a predetermined time if a power failure occurs in

the <u>backup</u> state, and prevents the <u>backup</u> state from being performed by outputting an interrupt signal if the power failure occurs in a case other than

the **backup** state; a dual plane nonvolatile memory comprising a volatile memory

and nonvolatile memory divided into a plurality of block units whereby a chip

is selected by a chip select signal, and performing a $\underline{\text{recovery}}$ process which

copies block $\underline{\mathtt{data}}$ of the nonvolatile memory to the volatile memory block in

response to a backup/recovery control signal and an address read/write control

signal and a $\underline{\text{backup}}$ process which overwrites the $\underline{\text{data}}$ of volatile memory block

to the nonvolatile memory block; and a database processing circuit for loading

the program from the memory, outputting the backup/recovery control signal to

the power $\underline{\text{monitor}}$ and control circuit, outputting a chip select signal to the

dual plane nonvolatile memory, and outputting the address and the backup/recovery control signal so that the recovery process is performed, and

the <u>data</u> of the volatile memory block of the dual plane nonvolatile memory is

updated through the address and the read/write control, and thereafter the

 $\underline{\underline{\mathbf{backup}}}$ process is performed by outputting the address and the $\underline{\underline{\mathbf{backup}}}$ $\underline{\underline{\mathbf{recovery}}}$

control signal.

Brief Summary Text - BSTX (21):

A database **recovery** apparatus using a dual plane nonvolatile memory according to a second embodiment of the present invention to accomplish the

above described object is characterized in that it comprises a memory for

storing a database processing program; a power **monitor** and control circuit

which receives a backup/recovery state signal, supplies a power source
to

maintain the $\underline{\text{backup}}$ state for a $\underline{\text{predetermined}}$ time if a power failure occurs in

the \underline{backup} state, and prevents the \underline{backup} state from being performed by outputting an interrupt signal if the power failure occurs in a case other than

the backup state; a first dual plane nonvolatile memory and a second dual plane

nonvolatile memory comprising a volatile memory and nonvolatile memory divided

into a plurality of block units whereby a chip is selected by a chip select

signal, and performing a $\underline{\text{recovery}}$ process which copies block $\underline{\text{data}}$ of the

nonvolatile memory to the volatile memory block in response to a backup/recovery control signal and an address read/write control signal and a

 $\underline{\mathtt{backup}}$ process which overwrites the $\underline{\mathtt{data}}$ of the volatile memory block to the

nonvolatile memory block; and a database processing circuit for loading the

program from the memory, outputting the $\frac{backup/recovery}{}$ control signal to the

power $\underline{\text{monitor}}$ and control circuit, outputting a chip select signal to the first

and second dual plane nonvolatile memory, and outputting the address and the $% \left(1\right) =\left(1\right)$

backup/recovery control signal so that the recovery process is
performed, the

<u>data</u> of the volatile memory block of the first and second dual plane nonvolatile memory is updated through the address and the read/write control,

and thereafter the address and the $\underline{\text{backup recovery}}$ control signal are outputted

to the first dual plane nonvolatile memory, and then if the backup
process

fails, the database of the first dual plane nonvolatile memory is updated by

reading the $\underline{\mathtt{data}}$ prior to update of the second dual plane nonvolatile memory,

and if the $\underline{\text{backup}}$ process of the first dual plane nonvolatile memory succeeds,

the address and the $\underline{\text{backup/recovery}}$ control signal are outputted to the second

dual plane nonvolatile memory and then if the backup process fails, the database of the second dual plane nonvolatile memory is updated by

reading the updated $\underline{\mathtt{data}}$ of the first dual plane nonvolatile memory.

6507562

DOCUMENT-IDENTIFIER:

US 6507562 B1

TITLE:

DYNAMIC OPTIMIZATION FOR RECEIVERS USING

DISTANCE

BETWEEN A REPAIR HEAD AND A MEMBER STATION IN A

REPAIR

GROUP FOR RECEIVERS HAVING A CLOSELY KNIT

TOPOLOGICAL

ARRANGEMENT TO LOCATE REPAIR HEADS NEAR THE

MEMBER

STATIONS WHICH THEY SERVE IN TREE BASED REPAIR IN

RELIABLE MULTICAST PROTOCOL

----- KWIC -----

Detailed Description Text - DETX (34):

If this timer expires, it indicates that the sender has paused and allows

members to report and $\underline{\text{recover}}$ any lost packets without having to wait for the

sender to start sending new data.

Detailed Description Text - DETX (58):

Each repair head monitors the operation of the members of its respective

repair group to ensure that the members are functioning properly. Likewise,

each of the members of a given repair group monitor the operation of the repair $% \left(1\right) =\left(1\right) +\left(1\right) +\left($

head associated with that group to ensure proper functioning of the head. If a

repair head determines that a member of its group is no longer functioning

(e.g., as a result of failure of the member to acknowledge receipt of special

 $\frac{\text{monitoring messages}}{\text{transmitted and/or a }} \text{ after a } \underbrace{\text{predetermined}}_{\text{time period for response has}} \text{ have been transmitted and/or a } \underbrace{\text{predetermined}}_{\text{time period for response has}} \text{ elapsed), the}$

repair head may prune that member from its group.

Detailed Description Text - DETX (79):

When a member receives the beacon packet, it immediately sends an acknowledgment to its repair head indicating whether it has received all of the

packets transmitted, or requires packet retransmission. If the beacon from the

sender is received, but a member has not acknowledged receipt of all

packets, a monitoring message is transmitted from the repair head

associated

with that member. If the member does not acknowledge receipt of such message

to the repair head sending the monitoring message, the repair head may retransmit the monitoring message. If, after a **predetermined** number of retransmissions of the **monitoring message**, the member has still failed to

acknowledge receipt, the repair head prunes the member from the tree. When all

members have either acknowledged receipt of all data packets to the repair head

or have been pruned from the tree, the repair head terminates its session.

Detailed Description Text - DETX (198):

The following are some of the tree optimization techniques: When a member

hears a Hello from a different repair head in the region that is closer than

its current repair head, the member attempts to re-affiliate to the closer

repair head. Note that a repair head has to perform loop avoidance checks

before choosing to re-affiliate with the closer repair head. Without loop

avoidance checks, improper tree formation (or tree disintegration) may result

when a repair head chooses to affiliate with a repair head that is at or below

its level/depth in the tree hierarchy. When two repair heads are found to be

close to each other, one of the repair heads can volunteer to resign in favor

of the other repair head. Typically the repair head that is better suited can

continue to be a repair head while the other repair head can resign.

In

Situations where both the repair heads are found to be suitable, the

situations where both the repair heads are found to be suitable, tie breaker

techniques such as the repair head that has fewer members, or the repair head $% \left(1\right) =\left(1\right) +\left(1$

that has the lowest unicast address and port number combination, can be used to

resolve the condition. To ensure quick and smooth re-affiliations of its
members, a resigning repair head can include the details of any backup

repair
head (network address, unicast port number, worst case TTL distance

from the backup repair head to the members) in the Hello message. The backup repair

head details are informatory in nature and members with better alternatives can

choose to ignore this information and re-affiliate with a different repair

head. The details of the $\underline{\mathbf{backup}}$ repair head help the members that do not hear

Hellos from any other repair head other than the currently affiliated repair

head. The repair head can adopt a strategy wherein the members that are

considered to be farthest are repaired using unicast and those that are considered closer are repaired using multicast. When this strategy is in use,

the repair heads can be limited to accept only a few unicast members.

Detailed Description Text - DETX (211):

This timer is canceled if an ACK is sent using the triggering mechanism

described above. If this timer expires, it indicates that the sender has

paused and allows members to report and $\underline{\text{recover}}$ any lost packets without having

to wait for the sender to start sending new data.

Detailed Description Text - DETX (278):

Receivers joining the multicast group after data transmission has startedhave two options for **recovering** data previously sent:

Detailed Description Text - DETX (279):

Recover as much data previously sent as possible. This option allows the

receiver to request retransmission of all the previously sent data that its

repair head has cached. A repair head typically has at least the last 50

packets sent, in its cache.

Detailed Description Text - DETX (280):

Don't $\underline{\text{recover}}$ anything sent before the receiver joined. This option doesn't

attempt to $\underline{\text{recover}}$ any previously sent packets. The first data packet received

after the new member joins the repair tree is handed up to the application.

All previously sent packets are ignored.

Detailed Description Text - DETX (299):

The Internet architecture is represented by four layers which are termed, in

ascending interfacing order, the network interface, internetwork, transport and

<u>application layers</u>. These layers are arranged to form a protocol stack in each

communicating station of the network.

Detailed Description Text - DETX (301):

In general, the lower layers of the communications stack provide Internetworking services and the upper layers, which are the users of

these

services, collectively provide common network application services.

application layer 12,112 provides services suitable for the different
types of

applications using the internetwork, while the lower network interface layer

12,120 accepts industry standards defining a flexible network architecture

oriented to the implementation of local area networks (LANs).

Detailed Description Text - DETX (305):

Data transmission over the internetwork 12,100 therefore consists of generating data in, e.g., sending process 12,104 executing on the source

station 12,110, passing that data to the $\underline{application\ layer}$ 12,112 and down

through the layers of the protocol stack 12,125, where the data are sequentially formatted as a frame for delivery onto the medium 12,180 as bits.

Those frame bits are then transmitted over an established connection of medium

12,180 to the protocol stack 12,175 of the destination station 12,150 where

they are passed up that stack to a receiving process 12,174. Data flow is

schematically illustrated by solid arrows.

Detailed Description Text - DETX (309):

One approach to providing scalable reliable multicasting is to organize the

receivers into a tree structure so that each internal "node" of the tree is

responsible for helping its subordinates $\frac{\text{recover}}{\text{Many conventional algorithms}}$ exist

for constructing such a tree. For example, reliable multicast protocols such

as TMTP and RMTP build trees that are used for an entire data transfer session

without optimization. Lorax describes methods for generally enforcing member

limits. After such a tree is constructed, it may be further optimized as

network conditions change. The present invention is directed, in one aspect,

to defining characteristics of optimal trees and mechanisms for obtaining such trees.

Detailed Description Text - DETX (314):

The present invention generally relates to a scalable, reliable multicast

transport protocol (TRAM) that supports bulk data transfer with a single sender

and multiple receivers of a computer internetwork, such as an intranet or

Internet. In one aspect of the invention, TRAM uses reliable multicast repair

trees that are optimized to implement local error **recovery** and to scale to a

large number of receivers without substantially impacting the sender.

Detailed Description Text - DETX (319):

The invention provides many features, for example the features of the

invention include: reliable multicast; single source to many receivers; scalable--ability to support a large receiver community; support local repair;

support adaptive congestion control mechanisms to prevent network flooding;

ordered data delivery; support unidirectional and multidirectional multicast

environments during the initial building of the tree and for late joins, and

reaffiliation during data transfer; control bandwidth used by multicast control

messages during tree formation anti data transfer; scalable up to a million

receivers; late joins without data recovery; support for real-time data
and

resilient category of applications; and, unordered data delivery.

Detailed Description Text - DETX (328):

Process of sensing congestion and recovering from it rather than aggravating

it. The congestion control mechanism is rate based and is adaptive which

enables the sender to sense and adjust to the rate at which the receivers can accept the data.

Detailed Description Text - DETX (396):

Further, since the Hello message is a multicast message, the Hello message

can serve as a means to inform other RxGroup-heads and RxGroup-members in the $\,$

neighborhood of its existence. This can be used to detect and optimize the

number of heads in the neighborhood and can also serve to provide backup head

information to other members in the neighborhood. The TTL scope in use field

in the Hello message can be maintained by the non dependent members as a backup

TTL, and can quickly re-affiliate upon losing its dependent RxGroup-head.

Detailed Description Text - DETX (424):

Members of trees that use MTHA for late joins still have a possible way to

determine standby heads. Every RxGroup-member, while successfully affiliated

to a RxGroup-head, processes the nearby RxGroup-head's multicast Hello messages

and maintains a maximum backup TTL value that it may have to use reach one of

these neighboring RxGroup-heads. The TTL values from Hello messages that

indicate that the HSTATE as Not_Accepting_Members are ignored.

Detailed Description Text - DETX (425):

If the watchdog timer tracking the RxGroup-head expires N HELLO MISSES

times, the RxGroup-member starts the re-affiliation process by sending a MS

message with TTL scope of the message set to the $\underline{\text{backup}}$ head TTL scope maintained (if any, otherwise with expanding scope as in a late join). If the

MS sent to the computed TTL scope does not yield HA messages, ERS mechanism will be pursued.

Detailed Description Text - DETX (447):

This timer is canceled if an ACK is sent using the triggering ${\tt mechanism}$

described above. If this timer expires, it indicates that the sender has

paused and allows members to report and $\underline{\textbf{recover}}$ any lost packets without having

to wait for the sender to start sending new data.

Detailed Description Text - DETX (471):

 $\underline{\textbf{Recover}}$ as much previously sent data as possible. This option allows the

receiver to ask for retransmissions of all the previously sent data that its

repair head has cached. A repair head typically has at least the last 50 packets sent in its cache.

Detailed Description Text - DETX (472):

Do not **recover** anything sent before the receiver joined. This option

doesn't attempt to $\underline{\text{recover}}$ any previously sent packets. The first data packet

received after the new member joins the repair tree is handed up to the application. All previously sent packets are ignored.

Detailed Description Text - DETX (473):

Both of the above options require that the receiver join the $\operatorname{multicast}$

repair tree before any data is given to the application. The method setLateJoinPreference is used to select one of the options listed above. Valid

arguments to this call are: LATE_JOIN_WITH_LIMITED_RECOVERY LATE_JOIN_WITH_NO RECOVERY

Detailed Description Text - DETX (484):

The data message is encapsulated in a TRAM header message and is sent to the

multicast group. The TRAM header among other things, include a sequence number

which enable the receiver TRAMs to order (if required) and detect packet loss.

After transmission, the message is moved to the Retrans-Q. The RxGroup-members

use a window mechanism to acknowledge the receipt of the multicast messages.

The message on the RetransQ undergoes the state transition (described earlier)

before being freed. If data cache usage is found to be above the high water

mark, then the congestion control and analysis operation on the $\operatorname{RetransQ}$ is

initiated to isolate and recover from the condition.

DOCUMENT-IDENTIFIER: US 6115393 A

TITLE: Network monitoring

----- KWIC -----

Detailed Description Text - DETX (16):

Management Workstation 12 is the operator interface. It collects and

presents troubleshooting and performance information to the user. It is based

on the SunNet Manager (SNM) product and provides a graphical network-map-based

interface and sophisticated data presentation and analysis tools. It receives

information from Monitor 10, stores it and displays the information in various

ways. It also instructs Monitor 10 to perform certain actions.

Monitor 10, in

turn, sends responses and alarms to Management Workstation 12 over either the

primary LAN or a <u>backup</u> serial link 14 using SNMP with the MIB extensions defined later.

Detailed Description Text - DETX (21):

For purposes of the present description, the Open Systems Interconnection $% \left(1\right) =\left(1\right) +\left(1\right) +$

(OSI) model will be presented as representative of structured protocol architectures. The OSI model, developed by the International Organization for

Standardization, includes seven layers. As indicated in FIG. 2, there is a

physical layer, a data link layer (DLL), a network layer, a transport layer, a

session layer, a presentation layer and an <u>application layer</u>, in that order.

As background for what is to follow, the function of each of these layers will be briefly described.

are account accountant

Detailed Description Text - DETX (22):

The physical layer provides the physical medium for the data transmission.

It specifies the electrical and mechanical interfaces of the network and deals

with bit level detail. The data link layer is responsible for ensuring an

error-free physical link between the communicating nodes. It is

responsible

for creating and recognizing frame boundaries (i.e., the boundaries of the

packets of data that are sent over the network.) The network layer determines

how packets are routed within the network. The transport layer accepts data

from the layer above it (i.e., the session layer), breaks the packets up into

smaller units, if required, and passes these to the network layer for transmission over the network. It may insure that the smaller pieces all

arrive properly at the other end. The session layer is the user's interface

into the network. The user must interface with the session layer in order to

negotiate a connection with a process in another machine. The presentation

layer provides code conversion and data reformatting for the user's application. Finally, the <u>application layer</u> selects the overall network

service for the user's application.

Detailed Description Text - DETX (33):

Stated another way, a dialog is the exchange of messages and the associated

meaning and state that is inherent in any particular exchange at any layer. It

refers to the exchange between the peer entities (hardware or software) in any

communication. In those situations where there is a layering of protocols, any

particular message exchange could be viewed as belonging to multiple dialogs.

For example, in FIG. 4 Nodes A and B are exchanging packets and are engaged in

multiple dialogs. Layer 1 in Node A has a dialog with Layer 1 in Node B. For

this example, one could state that this is the data link layer and the nature

of the dialog deals with the message length, number of messages, errors and

perhaps the guarantee of the delivery. Simultaneously, Layer n of Node A is

having a dialog with Layer n of node B. For the sake of the example, one could

state that this is an <u>application layer</u> dialog which deals with virtual terminal connections and response rates. One can also assume that all of the

other layers (2 through n-1) are also having simultaneous dialogs.

Detailed Description Text - DETX (70):

Second, MTM 34 is responsible for the delivery and reception of data to and

from the Management Workstation using the protocol appropriate to the network.

Primary and \underline{backup} communication paths are provided transparently to the rest

of the monitor modules (e.g. LAN and dial up link). It is capable of full $\ensuremath{\mathsf{LAN}}$

duplex delivery of messages between the console and monitoring module. The

messages carry event, configuration, test and statistics data.

Detailed Description Text - DETX (168):

DLL dialog statistics data structure 178, illustrated by FIG. 7c, includes

several additional fields of information which only appear in these structures

for dialogs for which state information can be kept (e.g. TCP connection). The

additional fields identify the transport protocol (e.g., TCP) (field 184) and

the application which is running on top of that protocol (field 186). They

also include the identity of the initiator of the connection (field 188), the

state of the connection (field 190) and the reason that the connection was

closed, when it is closed (field 192). Finally, they also include a state.sub.-- pointer (field 194) which points to a history data structure that

will be described in greater detail later. Suffice it to say, that the history

data structure contains a short history of events and states for each end of

the dialog. The state machine uses the information contained in the history

data structure to loosely determine what the state of each of the end nodes is

throughout the course of the connection. The qualifier "loosely" is used

because the state machine does not closely shadow the state of the connection

and thus is capable of $\underline{\textbf{recovering}}$ from loss of state due to lost packets or

missed communications.

Detailed Description Text - DETX (231):

Though the Network Monitor operates in a promiscuous mode, it may occasionally fail to detect or it may, due to overload, lose a packet within a

communication. If this occurs the state machine may not be able to accurately

determine the state of the connection upon receipt of the next event. The

problem is evidenced by the fact that the next event is not what was expected.

When this occurs, the state machine tries to $\underline{\text{recover}}$ state by relying on state

history information stored in the history table in field 212 to deduce what the

state is. To deduce the current state from historical information, the state

machine uses one of the two previously mentioned routines, namely, Look.sub.--

for.sub.-- Data.sub.-- State and Look.sub.-- at.sub.-- History.

Detailed Description Text - DETX (352):

Referring the FIG. 24, the details of the training procedure for adaptively

setting the Network Monitor thresholds are as follows. To begin training, the

Workstation sends a start learning command to the Network Monitors from which

performance data is desired (step 302). The start learning command disables

the thresholds within the Network $\underline{\textbf{Monitor}}$ and causes the Network $\underline{\textbf{Monitor}}$ to

periodically send <u>data for a predefined</u> set of network parameters to the

Management Workstation. (Disabling the thresholds, however, is not necessary.

One could have the learning mode operational in parallel with monitoring using

existing thresholds.) The set of parameters may be any or all of the previously

mentioned parameters for which thresholds are or may be defined.

DOCUMENT-IDENTIFIER: US 6065073 A

See image for Certificate of Correction

TITLE: Auto-polling unit for interrupt generation in a

network

interface device

----- KWIC -----

Brief Summary Text - BSTX (6):

The advantages of LANs are numerous. By providing easy access to shared

data (on server computer 14, for example), computer users are allowed to

interpolate more effectively. Users are also able to share expensive peripheral devices such as printers, faxes and CD-ROMs between client computers

16. These peripheral devices are also coupled to the various client computers

via LAN hardware 12. The cost of client computers may also be decreased by

lessening the needs for high-capacity disk drives on individual workstations.

By storing data on one or more central servers accessible through the LAN, this

also provides an easier solution for backup of vital data.

Brief Summary Text - BSTX (12):

Layer 7, the <u>application layer</u>, is responsible for specialized network

functions such as file transfer, virtual terminal, and electronic mail. The

purpose of this layer is to serve as the window between correspondent application processes which are using the OSI to exchange meaningful data.

Examples of application layer protocols include SNMP, RLOGIN, TFTP,
FTP, MIME,

NFS, and FINGER. Layer 6, the presentation layer, is responsible for data

formatting, character code conversion, and data encryption of data generated in $% \left(1\right) =\left(1\right) +\left(1\right) +\left($

the <u>application layer</u>. This layer is not always implemented in a network

protocol. Layer 5, the session layer, provides for negotiation and establishment of a connection with another node. To do this, the session layer

provides services to (a) establish a session connection between two presentation entities and (b) support orderly data exchange interactions. This

includes establishing, maintaining, and disconnecting a communication

link

between two stations on a network, as well as handling name-to-station address

translation. (This is similar to placing a call to someone on the telephone

network with knowing only his/her name, wherein the name is reduced to a phone

number in order to establish the connection).

Brief Summary Text - BSTX (15):

Layer 2, the data link layer, is responsible for transfer of addressable

units of information, frames, and error checking. This layer synchronizes

transmission and handles frame-level error control and recovery so that information can be transmitted over the physical layer. Frame formatting and

cyclical redundancy checking (CRC), which checks for errors in the whole frame,

are accomplished in this layer. It also provides the physical layer addressing

for transmitted frame. Serial Line IP (SLIP) and point-to-Point Protocol (PPP)

are examples of data link protocols. Finally, layer 1, the physical layer,

handles the transmission of binary data over a communications network. This

layer includes the physical wiring (cabling), the devices that are used to

connect a station's network interface controller to the wiring, the signaling

involved to transmit/receive data, and the ability to detect signaling errors

on the network media. ISO 2110, IEEE 802, and IEEE 802.2 are examples of

physical layer standards.

Claims Text - CLTX (29):

18. The computer system of claim 17, wherein said lack of activity on said

management interface is $\underline{\mathtt{detected}}$ by said auto-polling unit $\underline{\mathtt{detecting}}$ no \mathtt{data}

transfers on said serial <u>data</u> signal for a <u>predetermined</u> number of cycles on said clock signal.

5513314

DOCUMENT-IDENTIFIER:

US 5513314 A

TITLE:

Fault tolerant NFS server system and mirroring

protocol

----- KWIC -----

Brief Summary Text - BSTX (13):

The known use of NFS shadowing at the server system level relies on delayed

writes of shadowed data from a primary to a secondary server system.

server level shadowing thus requires only the real-time logging of all

modifications stored on one server to be replicated to at least the second

server. The inter-server transfer of such logged data is performed as a low

priority background task so as to have minimal impact on the normal function

and performance of the primary server system. Even so, the delayed background

transfer of logged data from the primary to backup server system may consume a

substantial portion of the network resources of a primary server.

problem with NFS server shadowing is that, at the point of any failover, the

delayed write of logged data to the surviving system creates an exposure window

consistent with **recovery** from normal NFS error conditions.

for the loss of data.

Brief Summary Text - BSTX (19):

Another advantage of the present invention is that failover between mutually fault tolerance protected server systems of the present invention is relatively instantaneous. That is, the failure detection aspect of the protocol of the present invention can detect and handle failure events

Brief Summary Text - BSTX (20):

A further advantage of the present invention is that no additional hardware

and minimal additional software is required for the implementation of

present invention. The protocol utilizes the same network connectivity existent for communication with a client to establish the fault tolerance data

communication path between two or more fault tolerance server network systems.

The only specific hardware cost involved is the cost of providing for additional disk data storage on each of the server systems that functions as a

mirror back-up to the filesystem storage of another server system. A
related

advantage is that no change is required either to the system software or

hardware of a client station in order to make use of the present invention.

Furthermore, administration of the present invention is centralized on the

server systems.

Brief Summary Text - BSTX (22):

A still further advantage of the present invention is that fault tolerant

operation is established in a flexible manner that allows any filesystem or

other data object to be established as either a primary or $\frac{\mathbf{back-up}}{\mathbf{fault}}$

tolerant protected element. Consequently, load sharing between multiple file

servers may be readily established to minimize the practical implications of

establishing fault tolerance behavior between the file servers with respect to

specific fault tolerance protected filesystems or data objects.

Brief Summary Text - BSTX (23):

Yet still another advantage of the present invention is that each primary

file server, succeeding a failover event or other operational data handling

inconsistency, may readily establish a local record of all data $\mbox{modifications}$

occurring from the point in time of the failover event, thereby permitting \boldsymbol{a}

rapid data reconstruction of the $\underline{\mathbf{back-up}}$ file server prior to re-establishing

the fault tolerant pairing of filesystems.

Drawing Description Text - DRTX (6):

FIG. 4 provides a state transition diagram illustrating the sequence of

states executed in the event of a $\underline{\mathbf{back-up}}$ NFS server failure to complete a

client write request in accordance with a preferred embodiment of the present invention;

Detailed Description Text - DETX (4):
In accordance with the preferred embodiments of the present

invention, a fault tolerant protocol is implemented for a specific class of remote procedure calls (RPCs) transferred via the LAN 16 as a series of one or more datagrams. Specifically, the class of RPCs encompassed by the fault tolerant protocol include those known as Network Filesystem (NFS) requests. In general, requests provide for two categories of operations: inquiries and Inquiry requests include read data, get attributes, look up, read directory, read link, status, and null. Update requests include write data, set attributes, rename, remove directory, remove file, link, create file, directory, and make symbolic link. These NFS requests are monitored managed by the fault tolerant protocol of the present invention in a that results in the mirroring of all data within predetermined filesystems present on a primary 12 and least one secondary 14 file server. The mirroring of data to both the primary and secondary file servers 12, 14 is essentially concurrently in response to any client workstation 18, 20 issues NFS requests with respect to the mirrored filesystems.

Detailed Description Text - DETX (13):

On both the primary files server 12 and secondary file server 14, the datagram representing the NFS write request is processed by a substantially conventional TCP/IP stack. In relevant part, this network stack includes a physical layer, a data link layer, a network layer, a transport layer, a session layer and an. application layer.

Detailed Description Text - DETX (16):

Finally, the <u>application layer</u> provides for well-known file services, such as file transfer and remote file access. An NFS server layer is the preferred embodiment of the <u>application layer</u> used by the present invention. Each read, write or other NFS request is managed through the NFS server under the control of generally respective network control processes (conventionally nfsd processes).

Detailed Description Text - DETX (33):

In each of these events, the primary server 12 is left sleeping on

1

the DRC

entry for an acknowledgment datagram that is not received. However, in accordance with the present-invention, a sleep timer is set by the primary

server 12 in putting the nfsd process to sleep on DRC entry. The nfsd process

awakes 86 on timeout of the sleep timer in the absence of any received acknowledge datagram. Alternately, the sleep timer is effectively expired upon

the aging of the DRC entry through operation of the DRC-LRU algorithm.

either event, the primary server 12 then transitions to a $\underline{\text{backup}}$ failure

recovery mode 88.

Detailed Description Text - DETX (34):

Where the backup failure occurs in a circumstance where the mirrored filesystems of the active group continue to be properly available, and the

integrity of the virtual server is intact but for the failure to receive the

acknowledgment datagram, a partial resynchronization of the mirrored file

systems is possible. The availability of mirrored filesystems is established

by use of a heart-beat protocol, preferably performed on a per mirrored filesystem basis by all active group servers on the LAN 16, to continually

broadcast evidence of the continuing availability of the corresponding exported

filesystems on the LAN 16. Preferably, this heart-beat protocol is implemented

through the issuance of a custom UDP datagram multicast to the fileservers of

the active group. Where such heart-beat datagrams are still being exchanged

between at least the active group servers 12, 14 of a given mirrored filesystem, thereby indicating that the mirror filesystem on the secondary

server 14 is available even though a sleep event for an acknowledge packet has

timed out on the primary server 12, the primary server 12 may intentionally

withhold issuing any completion datagram to the client 26. Subject to the

conventional operation of the NFS protocol, the client 26 will ultimately

time-out waiting for the completion datagram and reissue the NFS write request.

Detailed Description Text - DETX (57):

Another consideration dealt with by the present invention is the **recovery** of

mirrored status between mirror filesystems on the primary and secondary servers

12, 14 following the correction of the cause of a failover event. A

number of different approaches to recovery are contemplated by the present invention. The simplest recovery technique is to simply quiesce the failover surviving server and copy all data files within the surviving mirror filesystem mirror filesystem of the rejoining server. This copy can be performed selectively based at least on last modification timestamps that are subsequent to the moment of the failover event. The exact time of occurrence of the failover event is preferably recorded at the time of occurrence by the surviving server through the /etc/syslogd service or an equivalent event logging service. Detailed Description Text - DETX (58): The preferred partial resynchronization approach to recovery is to provide for the logging of all file data modifications, including file creations and deletions, that are made to the mirror filesystem of the surviving server from the point of the failover event to the quiescing of read/write activity preparation for recovery. The backup logs may store the accumulated incremental changes to the data files present on the mirror filesystem. While the mirror filesystems are otherwise quiesced, this log may simply be transferred or replayed from the surviving server to the rejoining thereby resynchronizing the data present on the mirrored filesystems. write activity is not quiesced on the surviving server during the partial resynchronization, a new log of write information can be accumulated by surviving server while writing a prior log to the rejoining server. Successive logs will be smaller until either no writes occur to the affected filesystem during the transfer of a log or, once the current log is of a sufficiently small size, writes to the filesystem on the surviving fileserver are temporarily suspended or held off until the final log is written to the rejoining server. Detailed Description Paragraph Table - DETL (1): _ Duplicate Request TABLE I

TABLE I ______ Duplicate Request

Cache _____ struct dupreq [u.sub.-- long dr.sub.-- xid; /* transaction ID */ u.sub.-- long dr.sub.-- proc; /*

procedure called */ u.sub.-- long dr.sub.-- vers; /* version number called

```
*/ u.sub.-- long d.sub.-- prog; /* program number called */ char
dr.sub.--
inprogress; /* 1 if request is in progress */ char dr.sub.-- status;
/*
status of original reply */ u.sub.-- short dr.sub.-- port; /* UDP
port of
sender */ struct in.sub.-- addr dr.sub.-- hostaddr; /* IP address of
sender
*/ struct timeval dr.sub.-- timestamp; /* time stamp */ struct
duprply
*dr.sub.-- reply; /* reply for non- idempotent req */ struct dupreq
*dr.sub.-- next; /* LRU cache chain */ struct dupreq *dr.sub.--
hash chain */ #ifdef FTNFS char dr.sub.-- ack; /* bit mask of
backup acks
rec'd */ u.sub.-- long dr.sub.-- inode; /* new file inode and
generation */
u.sub.-- long dr.sub.-- generation; /* as provided by the primary */
#endif
/* FTNFS */ ]; _____
```

US-PAT-NO:

6393568

DOCUMENT-IDENTIFIER:

US 6393568 B1

TITLE:

Encryption and decryption system and method with

content

analysis provision

----- KWIC -----

Detailed Description Text - DETX (5):

Preferably, the system decrypts and runs virus detection on each document or

file as the file is initially received by the computer or prior to transferring

of the data for use by a target application Such a real time process can

prevent a virus from being unknowingly unleashed as the file is first encountered by the system, as compared to conventional systems that would have

otherwise allowed the virus to go undetected as an encrypted document. Also,

if desired the combined decryption and content analysis, such as virus detection, can be run as a batch analysis as part of a maintenance program to

decrypt all files in a hard drive or network server on a pre-determined schedule to check for viruses in decrypted documents. If desired, the content

analysis application can be run on a file server which contains a backup copy

of data. Significant results of any content analysis can then be summarized

and conveyed for use on the original files, with the advantage that performance

penalties of the overall analysis are minimized for online systems containing

the original files.

Detailed Description Text - DETX (8):

The content inspection application 18 receives decrypted data from memory as

decrypted and stored by the cryptographic application 10. The content inspection application 18, such as a virus <u>detection</u> program, analyzes the

decrypted $\underline{\text{data}}$ to determine whether or not $\underline{\text{predefined}}$ content is contained in

the decrypted $\underline{\text{data}}$, or to determine what further action or processing should be

applied to the $\underline{\mathtt{data}}$ under inspection. For example where the packet content

inspector 18 is a virus detection application, the cryptographic application 10

launches the virus detection application after decryption of the data or a

portion of the data has been completed. The virus detection application then

evaluates the decrypted data to determine, for example, whether or not an

infection is present in the data and generates inspection status data 20, such

as infection status data. Consequently, unlike conventional cryptographic

systems, virus detection is launched by the cryptographic application and

performed on decrypted data so that latent $\underline{\text{viruses are not present in}}$ the

stored data.

Detailed Description Text - DETX (10):

The cryptographic application assesses whether the user has access to the

decryption keys or whether necessary decryption keys need to be obtained form

another source, as indicated in block 34. For example, where the batch of data

to be decrypted and analyzed is from many different users with varying encryption keys, the cryptographic system may have to obtain additional decryption key information from another source. If the computer performing the

decryption has access to the decryption keys, the cryptographic application

decrypts the data or file as shown in block 36. The cryptographic application

then sends a content inspection request, such as a virus detection request, to

launch the virus detection application as indicated in block 38. The virus

detection application 18 then analyzes the decrypted data to determine whether

a virus is present within the data as indicated in block 40 and 42.

Detailed Description Text - DETX (22):

If desired, the content analysis application can be run on a file server

which contains a \underline{backup} copy of data. A batch decryption and content analysis

operation is performed on all of the $\underline{\mathbf{back\ up}}$ copies. Significant results of

any content analysis can then be summarized and conveyed for use on the original files by the server, with the advantage that performance penalties of

the overall analysis are minimized for online systems containing the original

files. Hence the server generates content analysis status information and $\ensuremath{\mathsf{S}}$

sends the information to an appropriate node in the network designated as a

user, owner or administrator of the file or packet.

US-PAT-NO: 5623600

DOCUMENT-IDENTIFIER: VS 5623600 A

TITLE: Virus detection and removal apparatus for networks.

Brief Summary Text - BSTX (12):

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The present invention also comprises a method for processing a file before

transmission into the network and a method for processing a file before transmission from the network. The preferred method for processing a file

comprises the steps of: receiving the $\underline{\mathtt{data}}$ transfer command and file name:

transferring the file to the proxy server; performing virus $\underline{\text{detection}}$ on the

file; determining whether the file contains any viruses; transferring the file

from the proxy server to a recipient node if the file does not contain a virus;

and performing a $\underline{\mathtt{preset}}$ action with the file if it does contain a virus. The

present invention also includes methods for processing messages before transmission to or from the network that operate in a similar manner.

Detailed Description Text - DETX (8):

While the apparatus of the present invention, in particular the FTP proxy

server 60 and SMTP proxy server 62, has been described above as being located

and preferably is located on the gateway node 33, those skilled in the art will

realize that the apparatus of the present invention could also be included on a

FTP server or a world wide web server for scanning files and messages
as they

are downloaded from the web. Furthermore, in an alternate embodiment,
the

apparatus of the present invention may be included in each node of a network

for performing virus detection on all messages received or transmitted $\ensuremath{\mathsf{from}}$

that node.

Detailed Description Text - DETX (9):

As best shown in FIG. 4, the CPU 42 also utilizes a protocol layer hierarchy

to communicate over the network. The protocol layers of the hierarchy of the

present invention are shown in FIG. 4 in comparison to the ISO-OSI reference

model, for example. The protocol layers 410-426 of the hierarchy of the

present invention are similar to the prior art protocol layers for the lower

four layers 400-403 including: (1) a physical layer 400 formed of the transmission media 410; (2) a data link layer 401 formed of the network interface cards 411; (3) a network layer 402 formed of address resolution 412,

Internet protocol 413 and Internet control message protocol 414; and (4) a

transport layer 403 formed of the transmission control protocol 415 and a user

datagram protocol 416. Corresponding to the presentation 405 and session 404

layers, the protocol hierarchy of the present invention provides four methods

of communication: a file transfer protocol 417, a simple mail transfer protocol

419, a TELNET protocol 419 and a simple network management protocol 420. There

are corresponding components on the <u>application layer</u> 406 to handle file

transfer 423, electronic mail 424, terminal emulation 425, and network management 426. The present invention advantageously detects, controls and

eliminates viruses by providing an additional layer between the application

layer 406 and the presentation layer 405 for the gateway nodes 33. In particular, according to the hierarchy of the present invention, a FTP proxy

server layer 421 and a SMTP proxy server layer 422 are provided. These layers

 $42\overline{1},422$ operate in conjunction with the file transfer layer 423 and file

transfer protocol 417, and the electronic mail layer 424 and the SMTP protocol $\,$

layer 418, to process file transfers and messages, respectively. For example,

any file transfer requests are generated by the file transfer application 423, $\,$

first processed by the FTP proxy server layer 421, then processed by the file

transfer protocol 417 and other lower layers 415, 413, 411 until the data

transfer is actually applied to the transmission media 410. Similarly, any

messaging requests are first processed by the SMTP proxy server layer 418, and

thereafter processed by the SMTP protocol and other lower layers 415, 413, 411 $\,$

until the physical layer is reached. The present invention is particularly

advantageous because all virus screening is performed below the application

level. Therefore, the applications are unaware that such virus detection and

elimination is being performed, and these operations are completely transparent

to the operation of the application level layers 406. While the FTP proxy

server layer 421 and the SMTP proxy server layer 422 have been shown in FIG. $\mathbf{4}$

as being their own layer to demonstrate the coupling effects they provide

between the file transfer layer 423 and file transfer protocol 417, and the

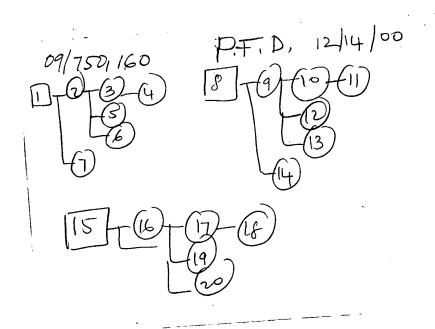
electronic mail layer 424 and the SMTP protocol layer 418, those skilled in the $\,$

art will realize that the FTP proxy server layer 421 and the SMTP proxy server \mathbf{r}

layer 422 can also be correctly viewed as being part of the file transfer $% \left(1\right) =\left(1\right) +\left(1\right) +$

protocol layer 417 and the SMTP protocol layer 418, respectively, because they

are invisible or transparent to the application layer 406.



4

US-PAT-NO:

5889943

DOCUMENT-IDENTIFIER:

US 5889943 A

TITLE:

Apparatus and method for electronic mail virus

detection

and elimination

----- KWIC -----

Abstract Text - ABTX (1):

The detection and elimination of viruses on a computer network is disclosed.

An apparatus for detecting and eliminating viruses which may be introduced by

messages sent through a postal node of a network electronic mail system includes polling and retrieval modules in communication with the postal node to

determine the presence of unscanned $\underline{\text{messages and to download}}$ data associated

with them to a node for treatment by a virus analysis and treatment module. $\mbox{\ensuremath{A}}$

method for detecting and eliminating viruses introduced by an electronic mail

system includes polling the postal node for unscanned messages,
downloading the

messages into a memory of a node, and performing virus detection and analysis at the node.

Brief Summary Text - BSTX (16):

The present invention also comprises a method for processing a file before

transmission into the network and a method for processing a file before transmission from the network. The preferred method for processing a file

comprises the steps of: receiving the $\underline{\mathtt{data}}$ transfer command and file name;

transferring the file to the proxy server; performing virus $\underline{\text{detection}}$ on the

file; determining whether the file contains any viruses; transferring the file

from the proxy server to a recipient node if the file does not contain a virus;

and performing a $\underline{\mathtt{preset}}$ action with the file if it does contain a virus. The

present invention also includes methods for processing messages before transmission to or from the network that operate in a similar manner.

Brief Summary Text - BSTX (18):

The present invention also comprises a method for detecting and

eliminating

viruses which may spread throughout a network in messages accessed by an

electronic mail system. Preferably, the postal node is polled from the client

node for unread messages, unread $\underline{\text{messages}}$ are $\underline{\text{downloaded}}$ into the memory of a

client node, the messages are scanned for the presence of viruses, and corrective action taken.

Detailed Description Text - DETX (8):

While the apparatus of the present invention, in particular the FTP proxy

server 60 and SMTP proxy server 62, has been described above as being located

and preferably is located on the gateway node 33, those skilled in the art will

realize that the apparatus of the present invention could also be included on a

FTP server or a world wide web server for scanning files and messages
as they

are downloaded from the web. Furthermore, in an alternate embodiment,

apparatus of the present invention may be included in each node of a network

for performing virus detection on all messages received or transmitted from

that node.

Detailed Description Text - DETX (9):

As best shown in FIG. 4, the CPU 42 also utilizes a protocol layer hierarchy

to communicate over the network. The protocol layers of the hierarchy of the

present invention are shown in FIG. 4 in comparison to the ISO-OSI reference

model, for example. The protocol layers 410-426 of the hierarchy of the

present invention are similar to the prior art protocol layers for the lower

four layers 400-403 including: (1) a physical layer 400 formed of the transmission media 410; (2) a data link layer 401 formed of the network interface cards 411; (3) a network layer 402 formed of address resolution 412,

Internet protocol 413 and Internet control message protocol 414; and (4) a

transport layer 403 formed of the transmission control protocol 415 and a user $\,$

datagram protocol 416. Corresponding to the presentation 405 and session 404

layers, the protocol hierarchy of the present invention provides four methods

of communication: a file transfer protocol 417, a simple mail transfer protocol

419, a TELNET protocol 419 and a simple network management protocol 420. There

are corresponding components on the application layer 406 to handle file transfer 423, electronic mail 424, terminal emulation 425, and network management 426. The present invention advantageously detects, controls and eliminates viruses by providing an additional layer between the application layer 406 and the presentation layer 405 for the gateway nodes 33. In particular, according to the hierarchy of the present invention, a FTP server layer 421 and a SMTP proxy server layer 422 are provided. These 421, 422 operate in conjunction with the file transfer layer 423 and transfer protocol 417, and the electronic mail layer 424 and the SMTP protocol layer 418, to process file transfers and messages, respectively. For example, any file transfer requests are generated by the file transfer application 423, first processed by the FTP proxy server layer 421, then processed by the file transfer protocol 417 and other lower layers 415, 413, 411 until the transfer is actually applied to the transmission media 410. Similarly, messaging requests are first processed by the SMTP proxy server layer 418, and thereafter processed by the SMTP protocol and other lower layers 415, 413, 411 until the physical layer is reached. The present invention is particularly advantageous because all virus screening is performed below the application level. Therefore, the applications are unaware that such virus detection and elimination is being performed, and these operations are completely transparent to the operation of the application level layers 406. While the FTP server layer 421 and the SMTP proxy server layer 422 have been shown in as being their own layer to demonstrate the coupling effects they provide between the file transfer layer 423 and file transfer protocol 417, and the electronic mail layer 424 and the SMTP protocol layer 418, those skilled in the art will realize that the FTP proxy server layer 421 and the SMTP proxy server layer 422 can also be correctly viewed as being part of the file transfer protocol layer 417 and the SMTP protocol layer 418, respectively, because they

Detailed Description Text - DETX (39):

are invisible or transparent to the application layer 406.

Preferably, the mail polling module 282 includes routines for polling or

accessing the postal node 232 to determine whether any new messages have

arrived for the client and remained unscanned. Such routines are arranged to

communicate with the mail management 292 and storage areas 264 of the postal

node 232 and preferably emulate the polling routines of the electronic mail

program 274, 292 used by the network 200. The polling routines include conventional ones, and may, for example, implement the Vendor Independent

Messaging (VIM) interface of the electronic mail system or the Dynamic Data

Exchange (DDE) interface. The polling routines may literally emulate the

routines used by the electronic mail program 274, 292 and may be set according

to the configuration settings of the mail scanning manager 280. The polling

routines are preferably executed on a fixed time interval such as every 30

seconds to poll the postal node 232 and determine whether any unscanned message $\,$

addressed to the client node 230 has been received. The polling module 282

uses and maintains the date in the scanned message FIFO buffer 285. The

scanned message FIFO buffer 285 is a table that list the messages at the post

office which are addressed to the client node, are unread and have already been

scanned for viruses. As illustrated in FIG. 11d, the scanned message FIFO

buffer 285 is preferably a portion of memory 248 fixed in sized to hold a

plurality of entries, each entry having a message identification number, header

information and one or more status bits. The buffer 285 is preferably a

circular buffer or FIFO buffer, in that, once the buffer is filled with information on scanned messages, the oldest entry in the FIFO will be deleted

to make room for the next entry. The process of polling for unscanned messages

is performed by using conventional routines to determine if there is an unread

message addressed to the client node 230 at the postal node 232. If there is,

the polling retrieves the unique identification number (and other header

information if necessary) and compares the unique identification number to the

unique identification numbers stored in the scanned message FIFO buffer

If the unique identification number for the unread message is in the scanned

message FIFO buffer 285, then the $\underline{\text{message is not download}}$ to the data buffer,

the polling continues with the next unread message at the postal node. However, if the unique identification number for the unread message is not in

the scanned message FIFO buffer 285, then the unique identification number is

passed to the retrieval module 283 so that the message and its contents can be

download to the data buffer 284.

Detailed Description Text - DETX (45):

Now referring to FIG. 12, a preferred method of operation 1200 for the

electronic mail scanning apparatus is shown. Preferably, the postal node 232

is polled 1205 by emulating the polling routines of the electronic mail system $\,$

to determine whether any unscanned messages that are addressed to a predetermined recipient are present. When unscanned messages for the predetermined recipient are detected at the postal node 232, the mail scanning

apparatus downloads 1210 the message, including any attachments, to memory 248

of the client node 230 assigned to the **predetermined** recipient. The preferred

method then scans 1215 the message and attachment stored in memory 246 to

determine 1220 whether the message or attachment contains a virus. Then in

step 1220, the method determines whether the message includes a virus. If the

message is found to have a virus, the mail scanning apparatus may then take

corrective action 1225 regarding the infected message, by either removing the

virus, sending a warning as part of the message, deleting the message or

forwarding the message to a system administrator. Preferably, the polling

routines 1205 operate without user input and without activation of the local

electronic mail program 274 at the client node 230 to allow for unobtrusive

detection and operation in the background.

Claims Text - CLTX (16):

11. The apparatus of claim 9, wherein the virus treatment module includes

routines for performing a $\underline{\mathtt{preset}}$ action on the $\underline{\mathtt{message}}$ when a virus is $\mathtt{detected}$

in the message.

Claims Text - CLTX (19):

14. The apparatus of claim 3, wherein the message is intended for

access by a first node, the message detecting module and the virus treatment module reside at a server; and the virus treatment module includes routines for performing a preset action on the message when a virus is detected in the message.

Claims Text - CLTX (37):

27. The method of claim 25, wherein the step of treating the message
comprises performing a preset action on the message when a virus is detected in
the message.

Claims Text - CLTX (40):

30. The method of claim 19, wherein the message is intended for access by a first node, the step of detecting the presence of a message is undertaken at a server; and the step of treating the message comprises performing a preset action on the message when a virus is detected in the message.